PTO/SB/21 (08-03) (AW 10/2003)
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 + 4 references + Notice of Reasons of Rejection

	1	
Application Number	10/626,075	
Filing Date	July 24, 2003	
First Named Inventor	Takao Yamaguchi	
Art Unit		
Examiner Name		
Attorney Docket No.	MDA-2880US3	

ENCLOSURES (Check all that apply)						
Fee Transmittal Form Fee Attached	Drawing(s)		After Allowance Communication to Group			
Amendment/Reply After Final Affidavits/Declaration(s)	Licensing-related Papers  Petition  Petition to Convert to a Provisional Application		Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply			
Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application	Power of Attorney, Revocation, Change of Correspondence Address  Terminal Disclaimer Request for Refund CD, Number of CD(s)  Remarks:		Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Return Postcard			
Response to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
Firm or Individual Allan Ratner	Registration No. (Attorney/Ag	gent) 1	9,717			
Signature  Date November 25, 2003						
CERTIFICATE OF TRANSMISSION / MAILING						
	mile transmitted to the USPTO or deposited with the Uspton or Commissioner for Patents, P.O. Box 1450, Alexandri		November 25, 2003			
Signature		Date	November 25, 2003			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

10/626,075

Applicant:

Takao Yamaguchi et al.

Filed:

July 24, 2003

Title:

METHOD AND APPARATUS FOR PROCESSING A DATA SERIES

INCLUDING PROCESSING PRIORITY DATA

TC/A.U.:

Examiner:

Confirmation No.:

Docket No.:

MDA-2880US3

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 S I R:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the Form PTO-1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 3-234139
- 2) JP 6-14049
- 3) JP 55-4106
- 4) JP 5-284187

References 1) to 4) include English language abstracts.



References 1) to 4) were cited in a Notice of Reasons of Rejection by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this Notice, which indicates the degree of relevance found by the foreign office, is transmitted herewith. Also enclosed is an English translation of the Notice of Reasons of Rejection. MPEP 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

#### **STATEMENT**

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

RatnerPresti

Allah Ratner, Reg. No. 19,717 Attorney for Applicants

AR/kc

Dated: November 25, 2003

Enclosures: Form PTO-1449

(4) References

Notice of Reasons of Rejection

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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November 25/2003

ubstitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known		
Application Number	10/626,075	
Filing Date	July 24, 2003	
First Named Inventor	Takao Yamaguchi	
Art Unit		
Examiner Name		
Attorney Docket No.	MDA-2880US3	

	U.S. PATENT DOCUMENTS				
		Document Number			Pages, Columns, Lines, Where
	Cite No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		FOREIGN PA	TENT DOCUMEN	TS		
		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	די
		JP 3-234139 A (w/English abstract)	10/18/1991	Oki Electric Ind		T
		JP 6-14049 A (w/English abstract)	01/21/1994	Fujitsu Ltd		
		JP 55-4106 A (w/English abstract)	01/12/1980	Toshiba Corp		
		JP 5-284187 A (w/English abstract)	10/29/1993	Sekisui Chem Co		

Examiner	Date	
Signature	Considered	

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 2 of 2

Complete if Known			
Application Number	10/626,075		
Filing Date	July 24, 2003		
First Named Inventor	Takao Yamaguchi		
Art Unit			
Examiner Name			
Attorney Docket No.	MDA-2880US3		

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		Japanese Patent Office Notice of Reasons of Rejection for Application No. 2002-230040 mailed October 28, 2003 with English translation	
•			
Examiner	<u> </u>	Date	

Signature			Considered	
*EXAMINER:	Taible If reference considered	whather as not citation is in conformance with MDED COO	. Draw line through citation if not	in conformance and not
*EXAMINER:	initial if reference considered.	whether or not citation is in conformance with MPEP 609	. Draw line through citation if not	in conformance and not

<sup>1</sup>Applicant's unique citation designation number (optional).

¹Applicant's unique citation designation number (optional).
²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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considered. Include copy of this form with next communication to Applicant.